A satellite with a large white and gold body and a long array of solar panels is shown in space. The Earth's blue and white surface is visible at the bottom of the frame. The background is a dark field of stars.

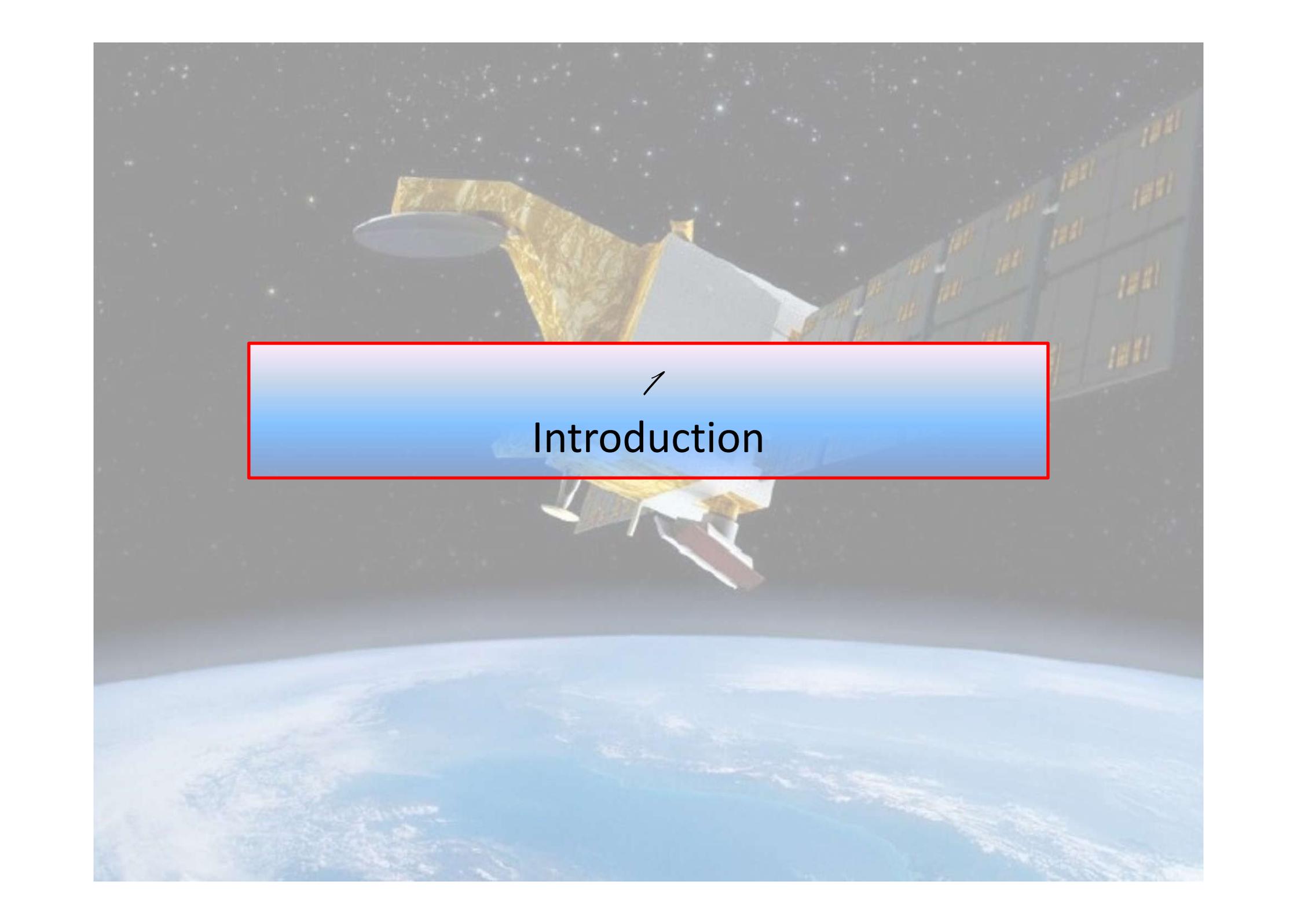
SEA ICE EXTENT RETRIEVAL WITH KU-BAND ROTATING FAN BEAM SCATTEROMETER DATA

**Liling Liu, Jianqiang Liu, Wenming Lin, Xiaolong Dong,
Congrong Sun, Shuyan Lang**

China University of Mining and Technology - Beijing
Mar. 18, 2021

Outlines

- Introduction
- CFOSCAT Bayesian Sea Ice Discrimination
Processing
- Algorithm Validation and Discussion
- Conclusions

A satellite with a large gold-colored antenna and a long array of solar panels is shown in space. The Earth's blue and white horizon is visible at the bottom of the frame. A red-bordered box is overlaid on the satellite.

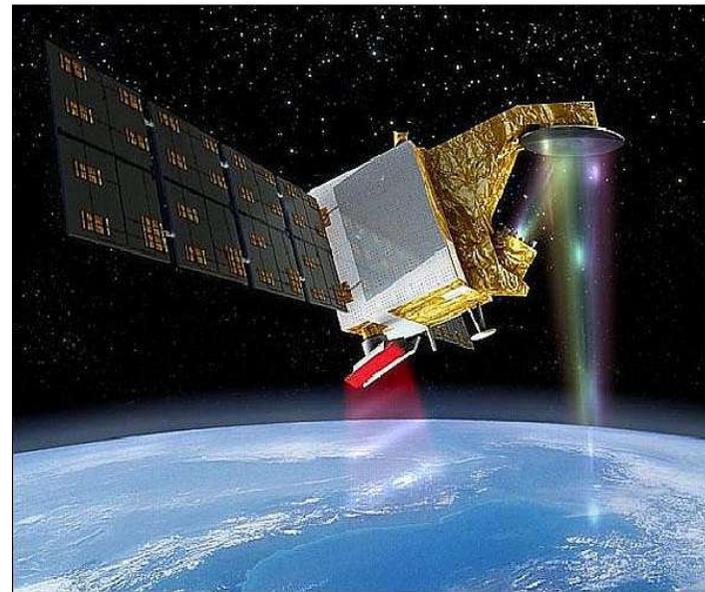
1

Introduction

Sea Ice Extent Retrieval with Scatterometer

Polar sea ice is an important input to global climate models and is considered to be a sensitive indicator of climate change. While originally designed only for wind estimation, radar backscatter measurements collected by wind scatterometers have proven useful for estimating the extent of sea ice.

In the past few decades, the development of satellite remote sensing techniques has provided new solutions and valuable data for monitoring the vast expanse of sea ice in the polar region. The **satellite scatterometer**, which is able to measure the backscatter characteristics of surface coverage, has been verified as a useful tool for polar sea ice monitoring.



Sea Ice Extent Retrieval Methods

- KNMI Daily Arctic and Antarctic sea ice extents

http://projects.knmi.nl/scatterometer/ice_extents/



- ASCAT: 2007.1-2017.12
- ERS1/2: 1992.3.-2001.1
- OSCAT: 2009.12-2014.2
- SeaWinds: 1999.7 -2009.11
- CFOSCAT: 2019-

- SCP Daily Arctic and Antarctic sea ice extents

<https://www.scp.byu.edu/data/iceextent.html>

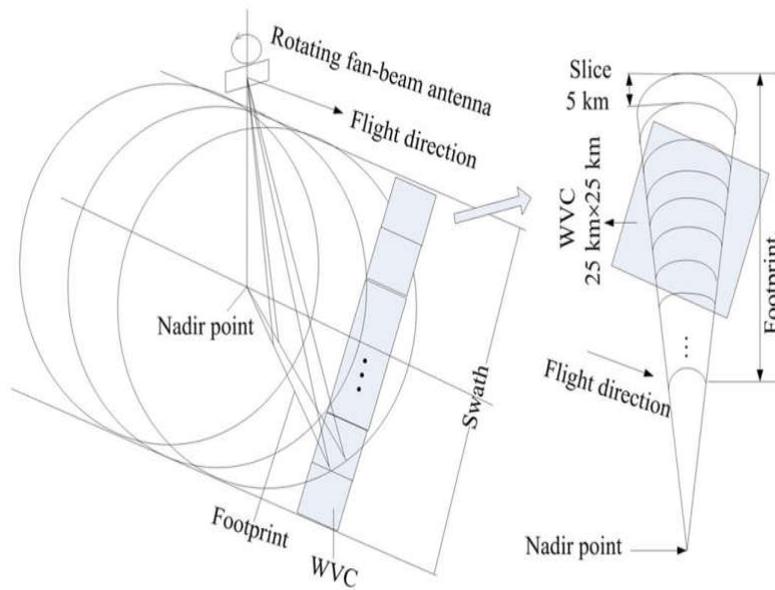


- QSCAT: 1999.1-2009
- OSCAT: 2009.12-2014.2
- CFOSCAT?

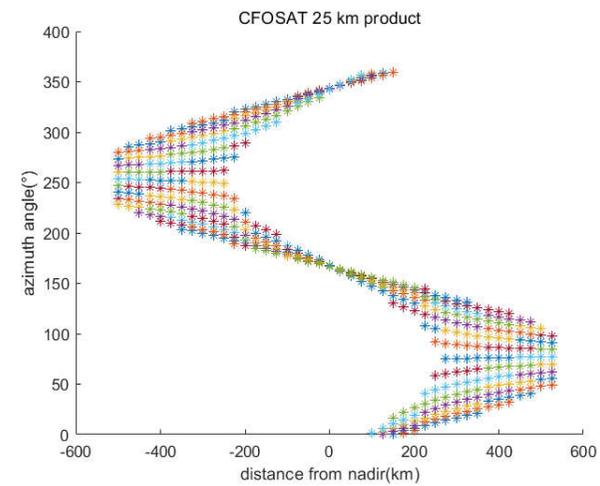
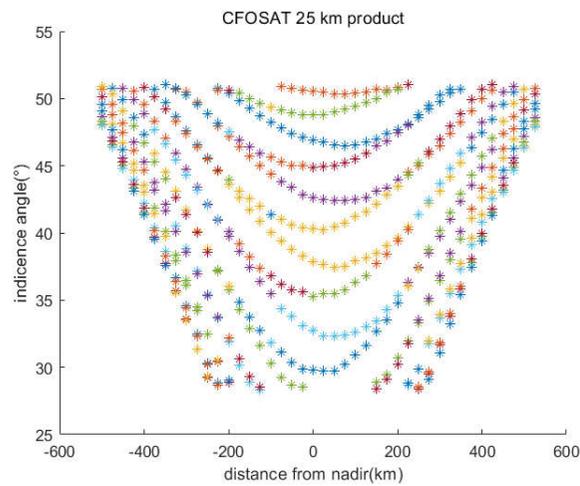
A 3D rendering of the CFOSCAT satellite in space, orbiting Earth. The satellite has a white body with gold thermal blankets and a large circular antenna. The Earth's blue and white surface is visible at the bottom, and a starry space background is at the top.

2
CFOSCAT Bayesian Sea Ice Discrimination
Processing

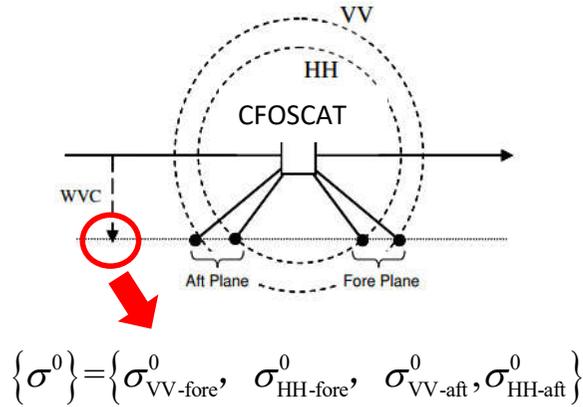
Rotating Fan Beam Scatterometer - CFOSCAT



Specification	Value
Frequency	13.256 GHz
Bandwidth	0.5 MHz
Polarizations	HH and VV
Swath width	>1000 km
Orbit height	519 km
Inclination	97°
Incidence angles	26°~51°
Wind vector cell (WVC) resolution	12.5km/25Km
Rotation rate	3.3 rpm
Pulse width	1.35 ms
Pulse repetition frequency (PRF)	150 Hz
Noise figure and losses within instrument	5 dB



Bayesian Sea Ice Discrimination Algorithm



Two classes: ice and water $p_0(\text{ice}) + p_0(\text{wind}) = 1$

$$p(\text{ice} | \sigma^0) = \frac{p(\sigma^0 | \text{ice}) p_0(\text{ice})}{p(\sigma^0 | \text{ice}) p_0(\text{ice}) + p(\sigma^0 | \text{wind}) p_0(\text{wind})}$$

Distribution of Ice MLE
Distribution of Wind MLE

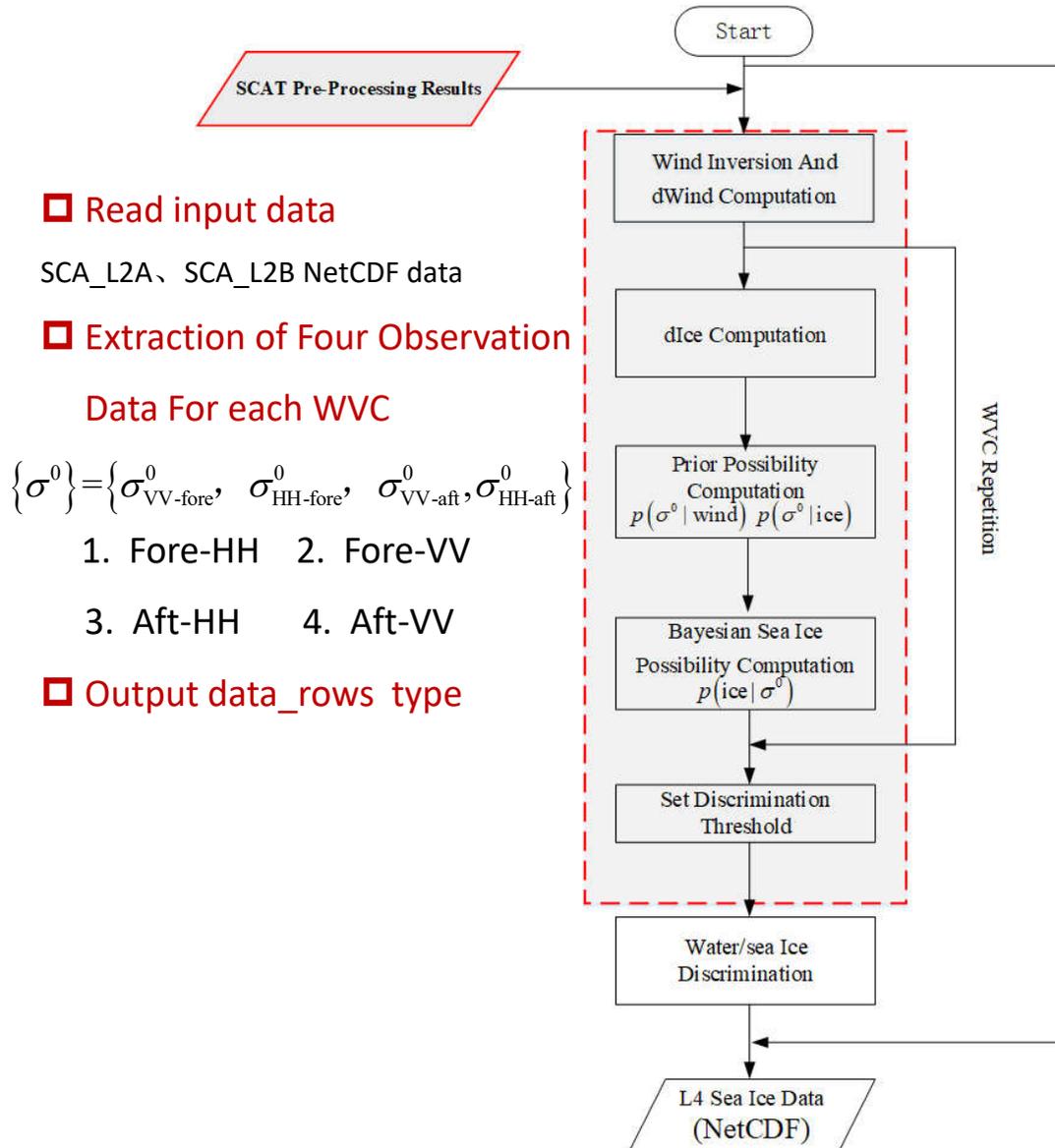
Posterior Probability
Prior Probability

$$p(\sigma^0 | \text{wind}) = p(\text{MLE}_{\text{wind}}) = \chi_{Q=2}^2(\text{MLE}_{\text{wind}}) = \frac{1}{2} \exp(-\text{MLE}_{\text{wind}}/2) \quad \text{MLE}_{\text{wind}} = \min \left\{ \sum_{i=1, \dots, N} (\sigma_i^0 - \sigma_{\text{wind}, i}^0)^2 / \text{var}[\sigma_{\text{wind}, i}^0] \right\}$$

$$p(\sigma^0 | \text{ice}) = p(\text{MLE}_{\text{ice}}) = \chi_{Q=1}^2(\text{MLE}_{\text{ice}}) = \sqrt{\frac{\text{MLE}_{\text{ice}}}{2\pi}} \exp(-\text{MLE}_{\text{ice}}/2) \quad \text{MLE}_{\text{ice}} = \min \left\{ \sum_{i=1, \dots, N} (\sigma_i^0 - \sigma_{\text{ice}, i}^0)^2 / \text{var}[\sigma_{\text{ice}, i}^0] \right\}$$

$$p_0(\text{ice}) = 1 - p_0(\text{wind}) \quad p_0(\text{ice}) = p(\text{ice} | \sigma^0) \quad p_0(\text{ice}) = \begin{cases} 0.5, & \text{if } p(\text{ice} | \sigma^0) > 0.30 \\ 0.15, & \text{if } p(\text{ice} | \sigma^0) < 0.30 \end{cases}$$

CFOSCAT Bayesian Sea Ice Discrimination Processing



Wind inversion and dWind computation

$$MLE_{wind} = \min \left\{ \sum_{i=1, \dots, 4} (\sigma_i^0 - \sigma_{wind,i}^0)^2 / \text{var}[\sigma_{wind,i}^0] \right\}$$

dIce computation

$$MLE_{ice} = \min \left\{ \sum_{i=1, \dots, N} (\sigma_i^0 - \sigma_{ice,i}^0)^2 / \text{var}[\sigma_{ice,i}^0] \right\}$$

Prior possibility computation

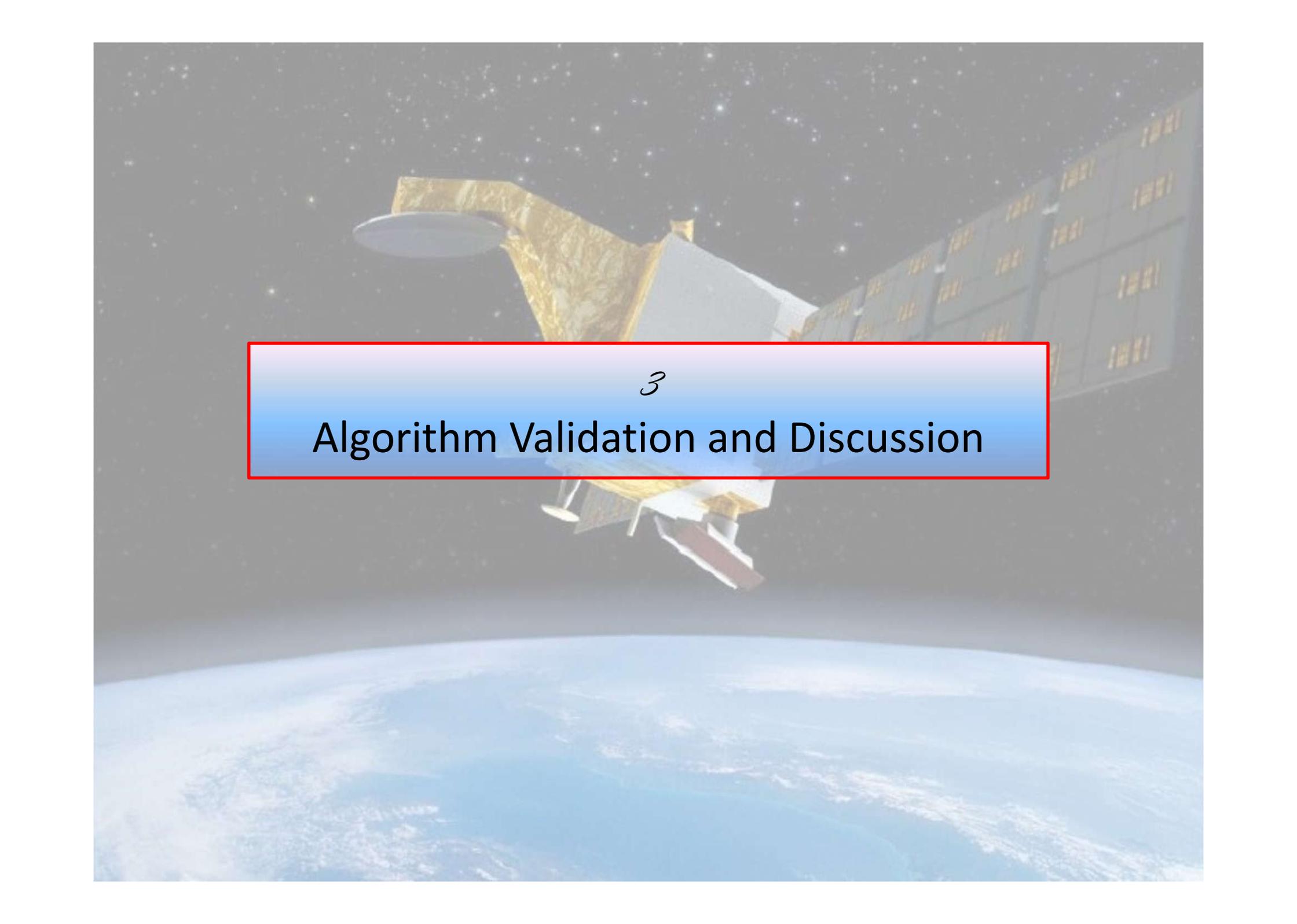
$$p(\sigma^0 | \text{wind}) = \frac{1}{2} \exp(-MLE_{wind}/2)$$

$$p(\sigma^0 | \text{ice}) = \sqrt{\frac{MLE_{ice}}{2\pi}} \exp(-MLE_{ice}/2)$$

Bayesian sea ice possibility computation

$$p(\text{ice} | \sigma^0) = \frac{p(\sigma^0 | \text{ice}) p_0(\text{ice})}{p(\sigma^0 | \text{ice}) p_0(\text{ice}) + p(\sigma^0 | \text{wind}) p_0(\text{wind})}$$

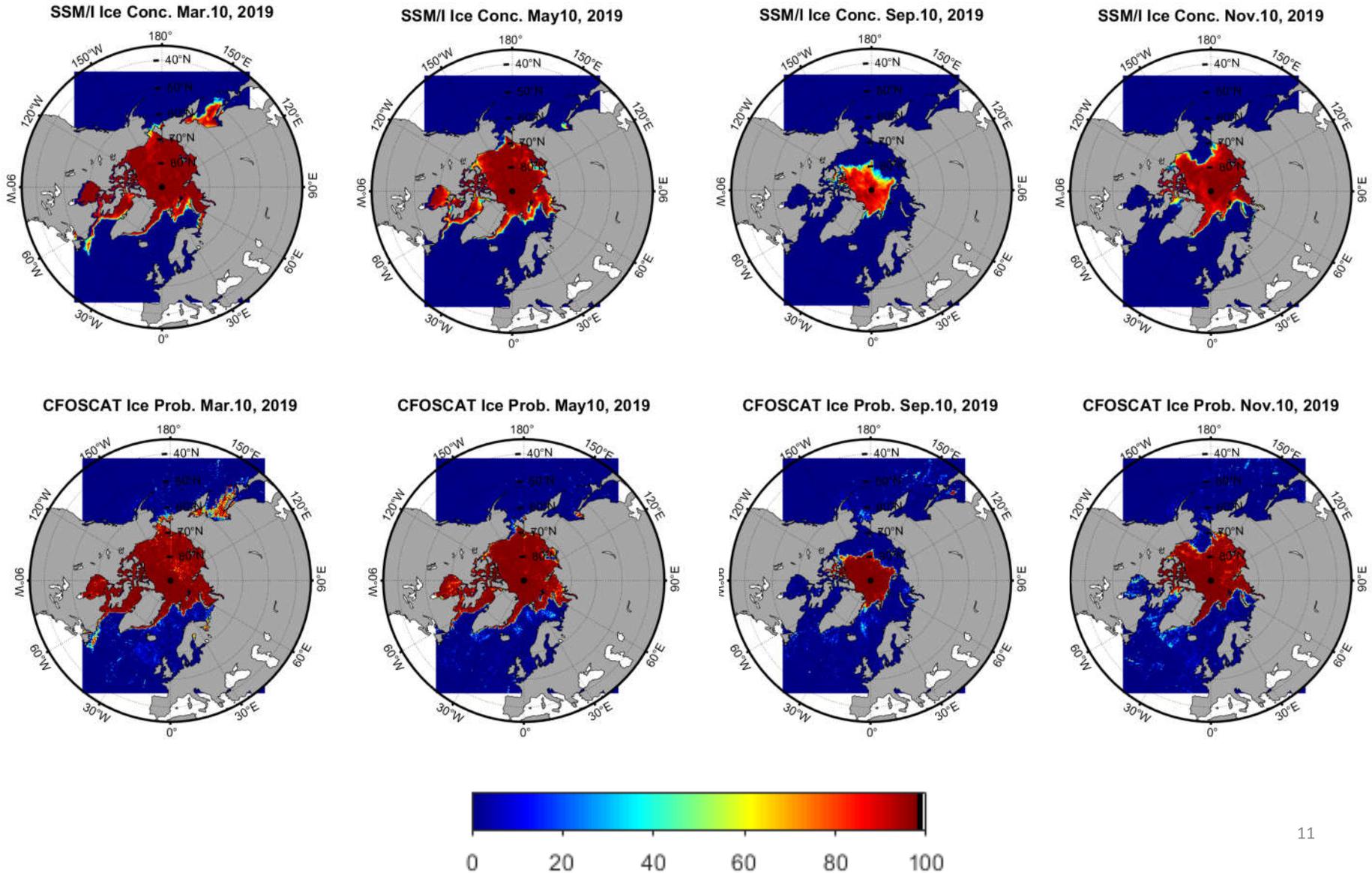
Set discrimination threshold 55%

A satellite with gold-colored thermal blankets and a large circular dish antenna is shown in space. The Earth's blue and white horizon is visible at the bottom. The background is a dark field of stars.

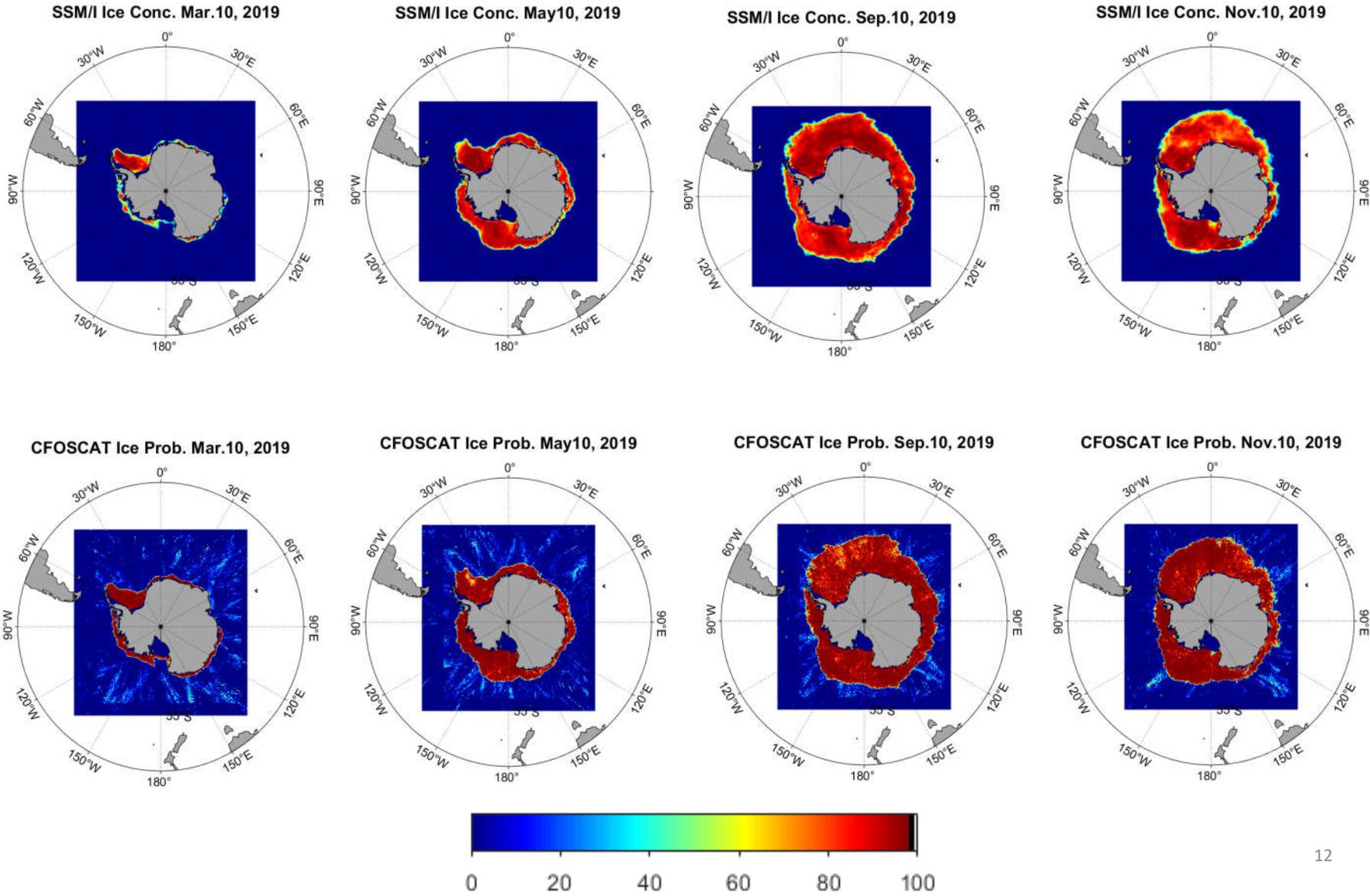
3

Algorithm Validation and Discussion

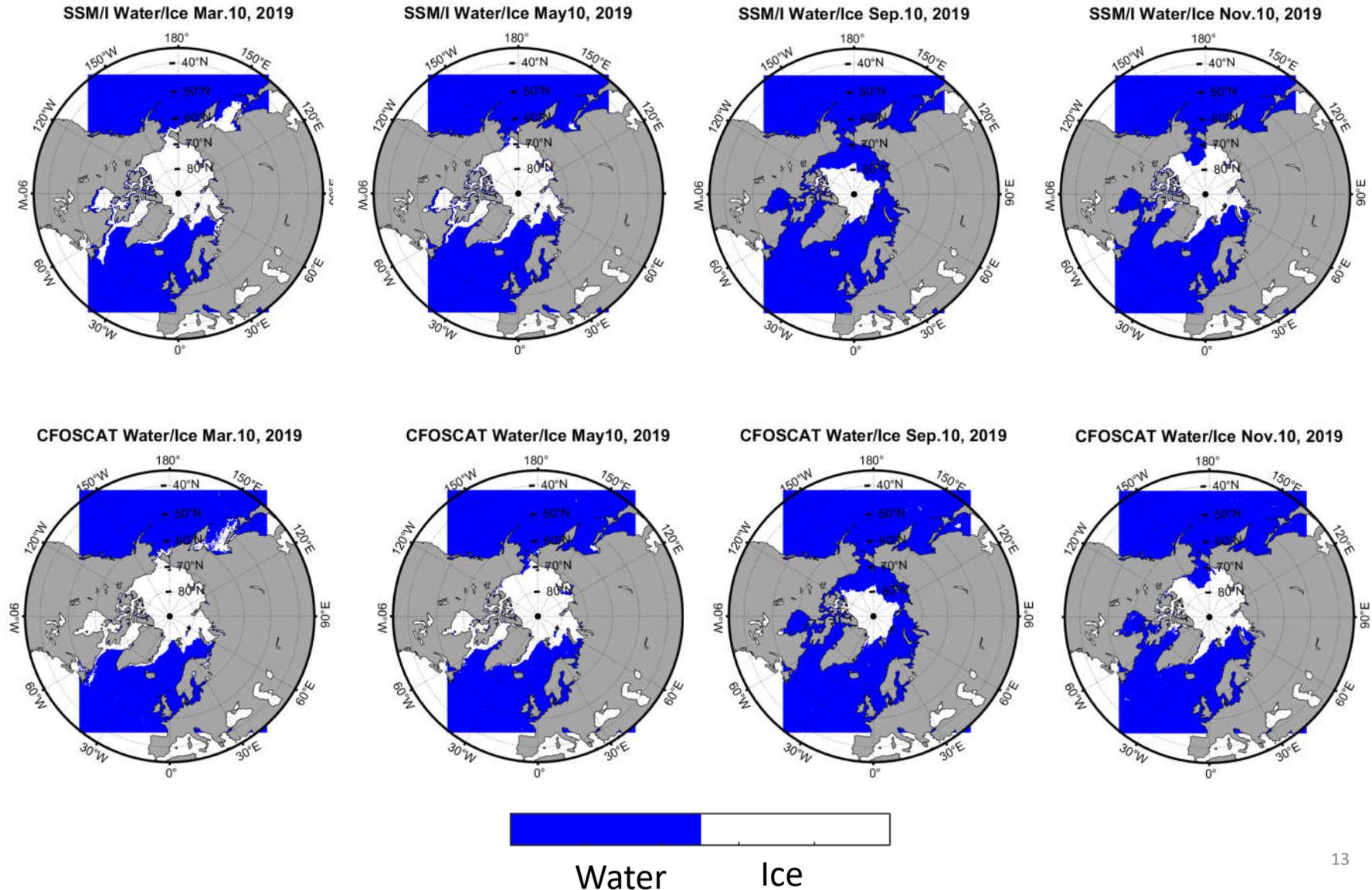
Bayesian Sea Ice Probability vs Ice Concentration(SSMI)



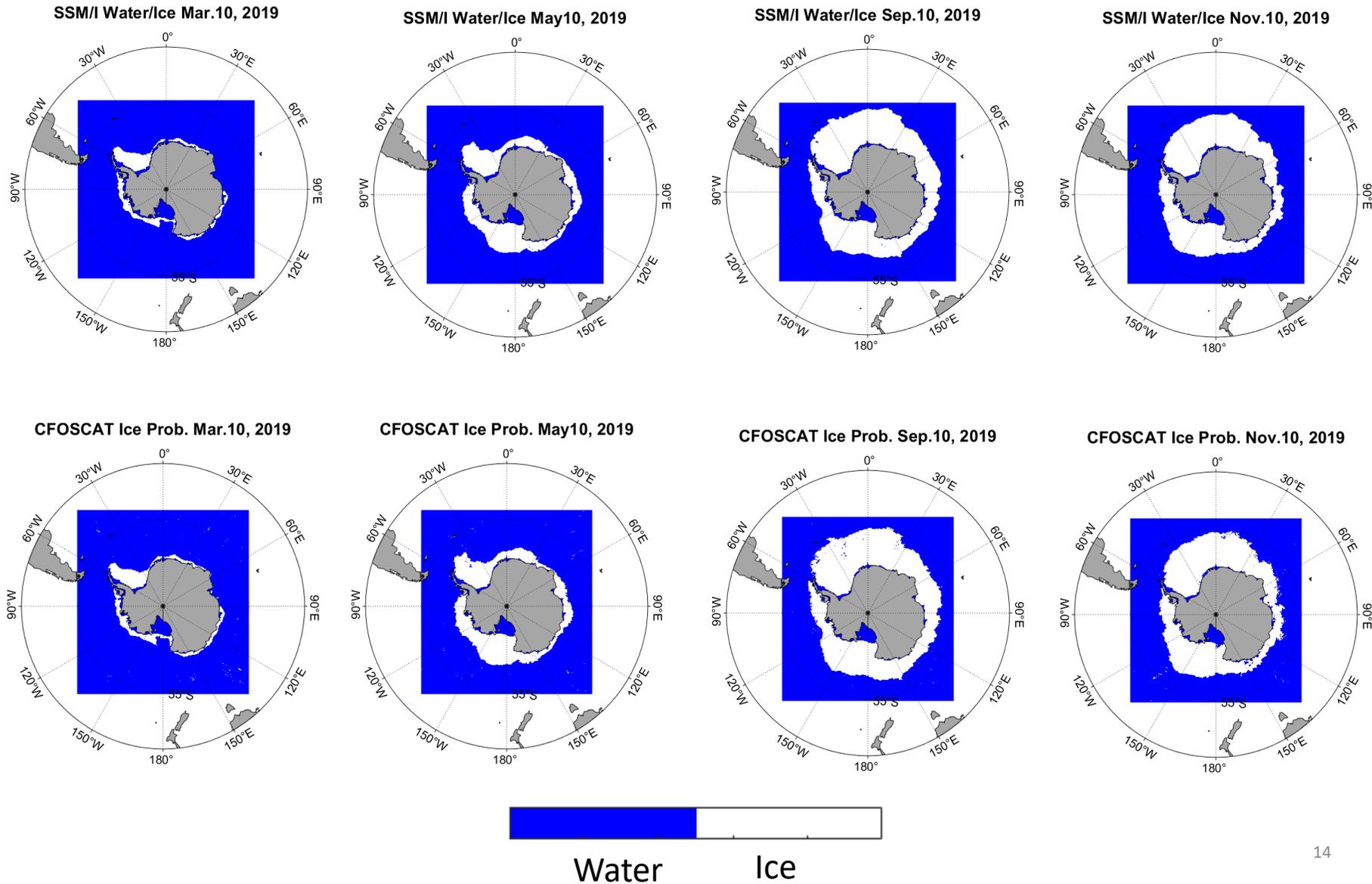
Bayesian Sea Ice Probability vs Ice Concentration(SSMI)



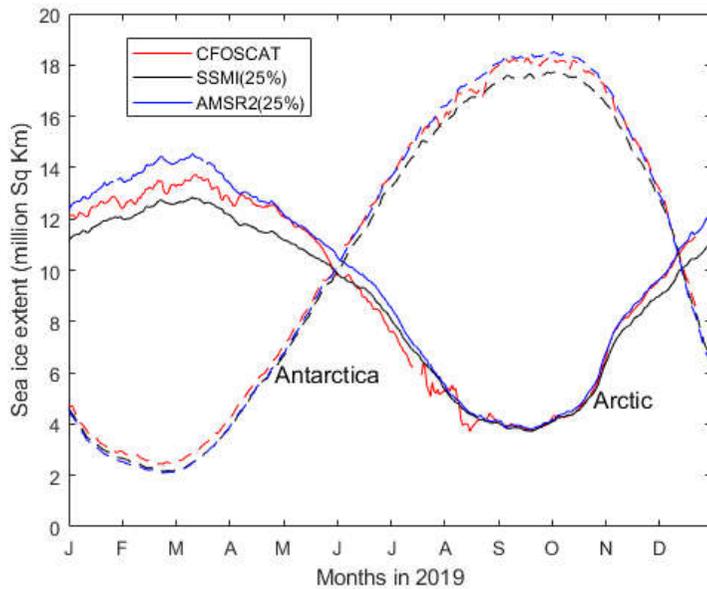
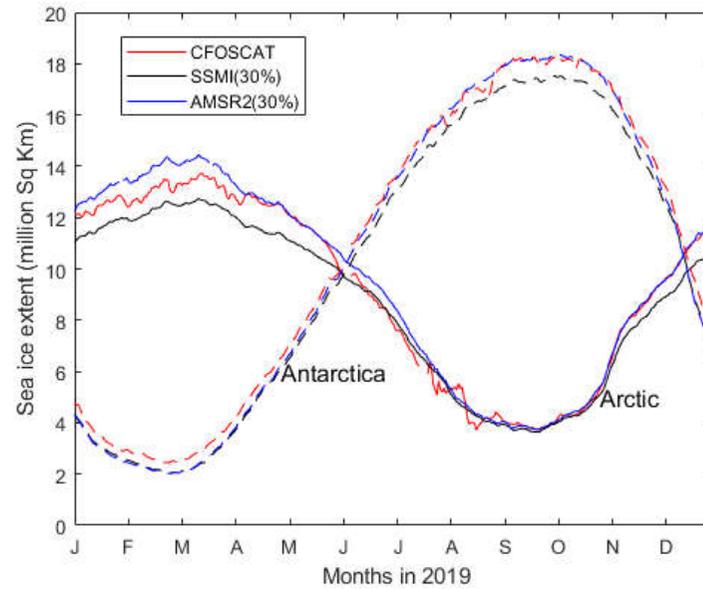
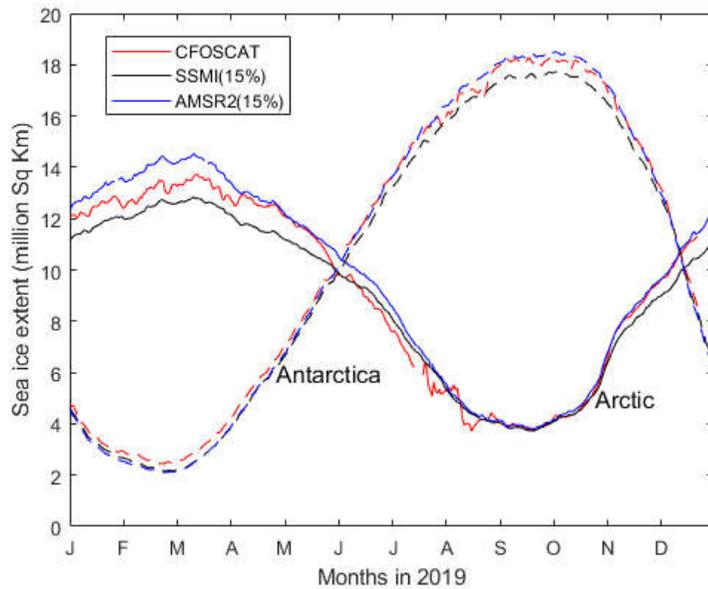
CFOSCAT@55% ice Probability vs SSM/I@15% ice concentration



CFOSCAT@55% ice Probability vs SSM/I@15% ice concentration

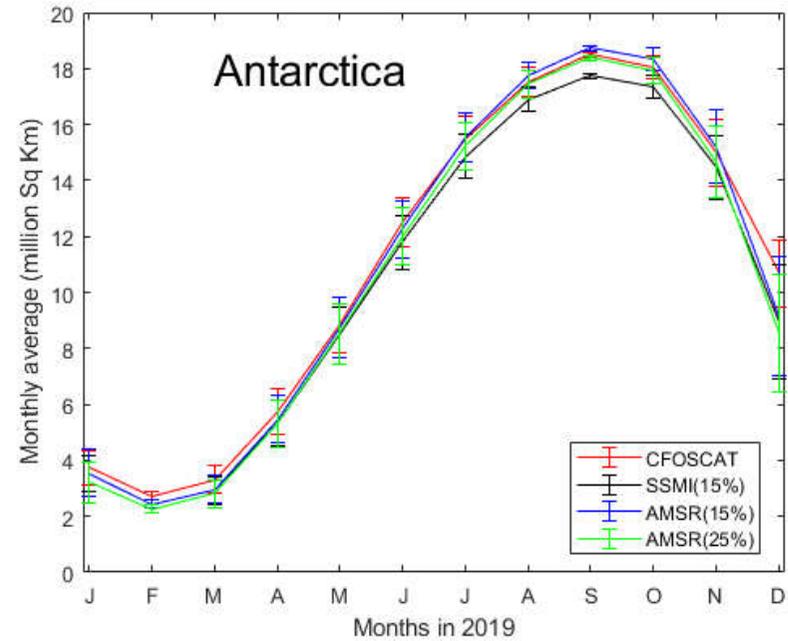
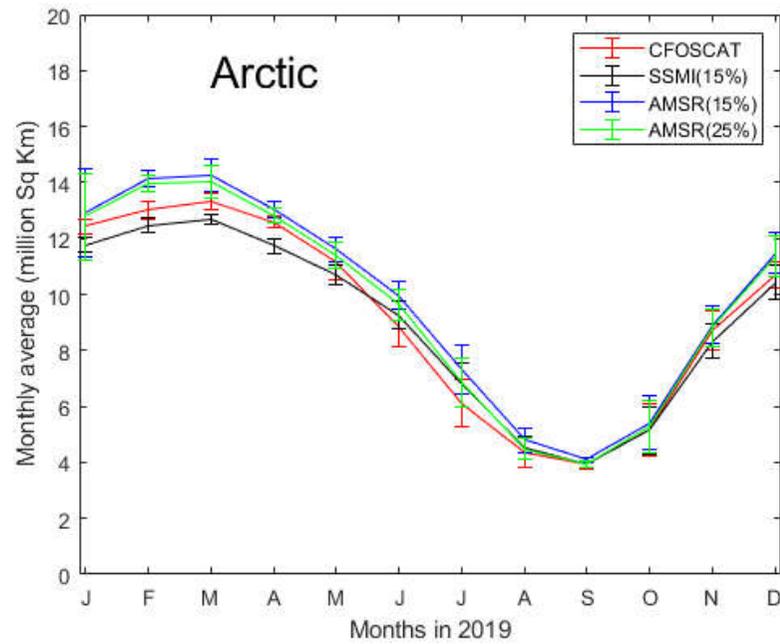


Daily sea ice extent of 2019

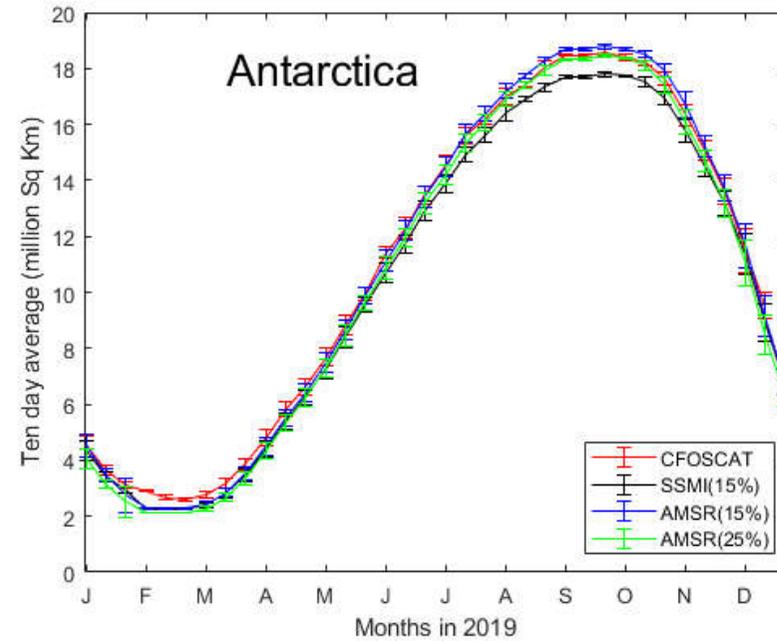
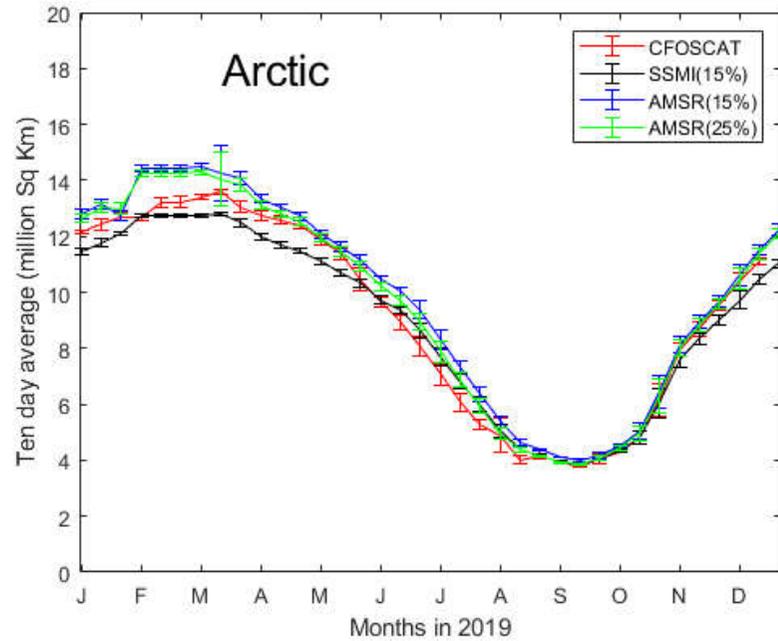


	Arctic		Antarctic	
	CFOSCAT vs SSMI	CFOSCAT vs AMSR2	CFOSCAT vs SSMI	CFOSCAT vs AMSR2
15%	0.48±0.30	0.61±0.42	0.25±0.13	0.34±0.25
25%	0.50±0.32	0.42±0.35	0.38±0.16	0.25±0.14
30%	0.55±0.35	0.34±0.32	0.57±0.18	0.30±0.17

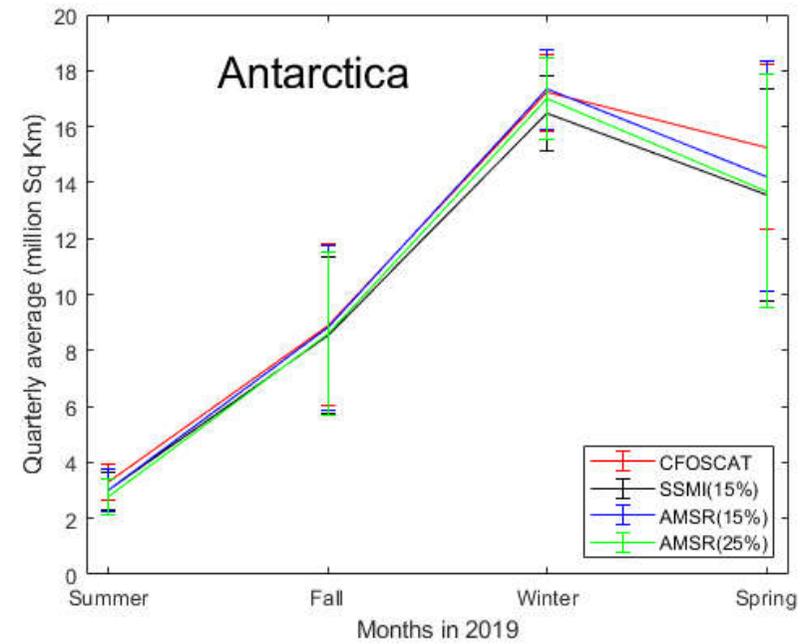
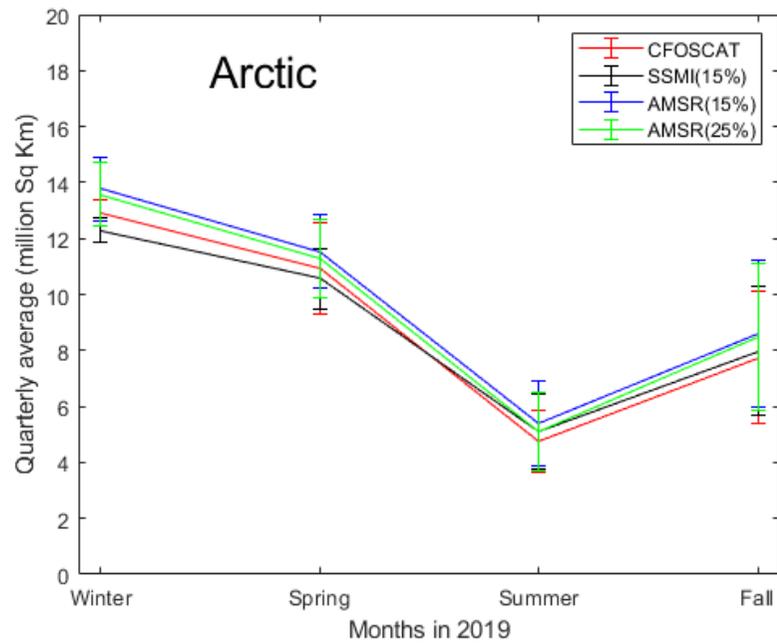
Monthly Average of Sea Ice Extent

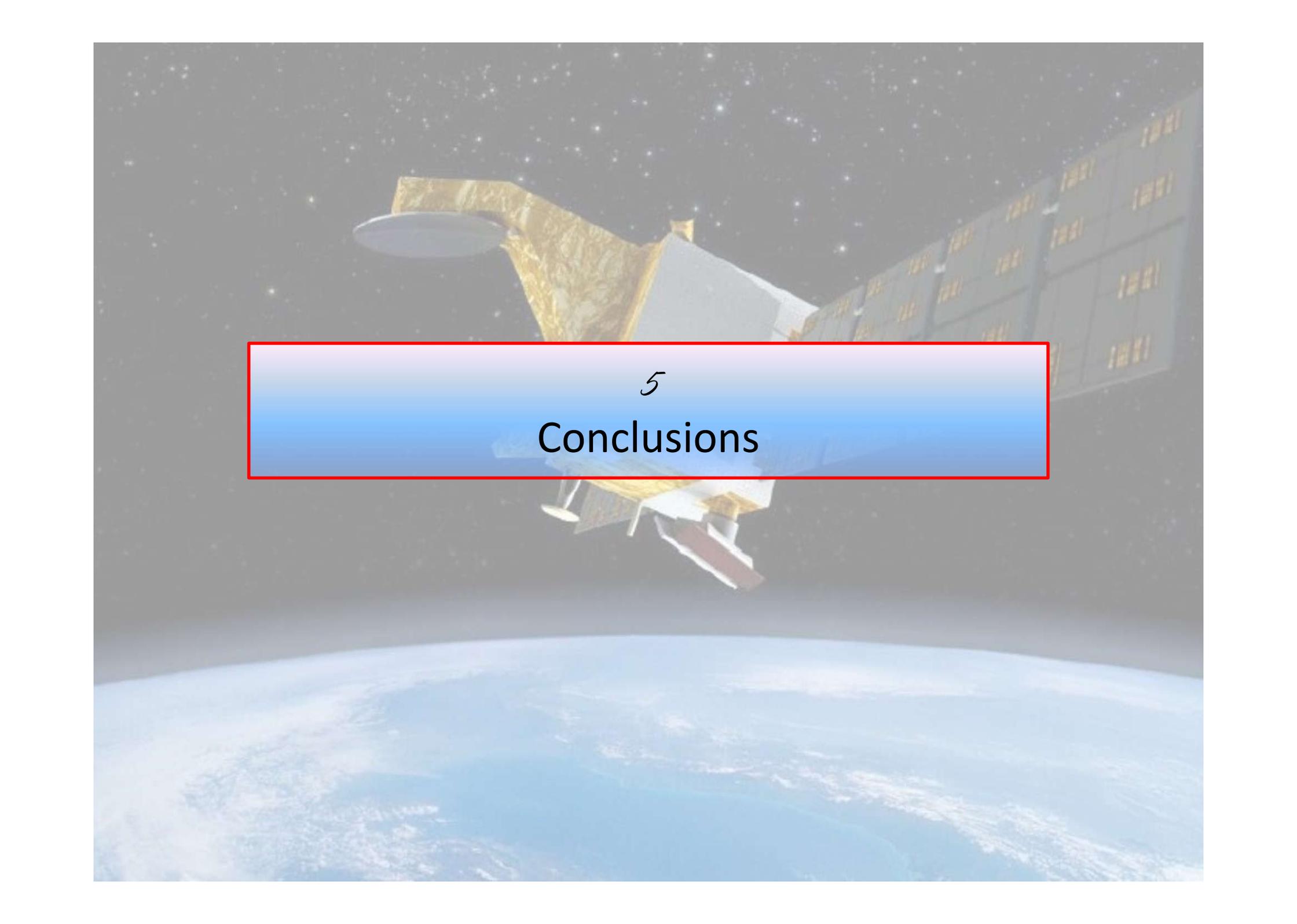


Ten Days Average of Sea Ice Extent



Quarterly Average of Sea Ice Extent



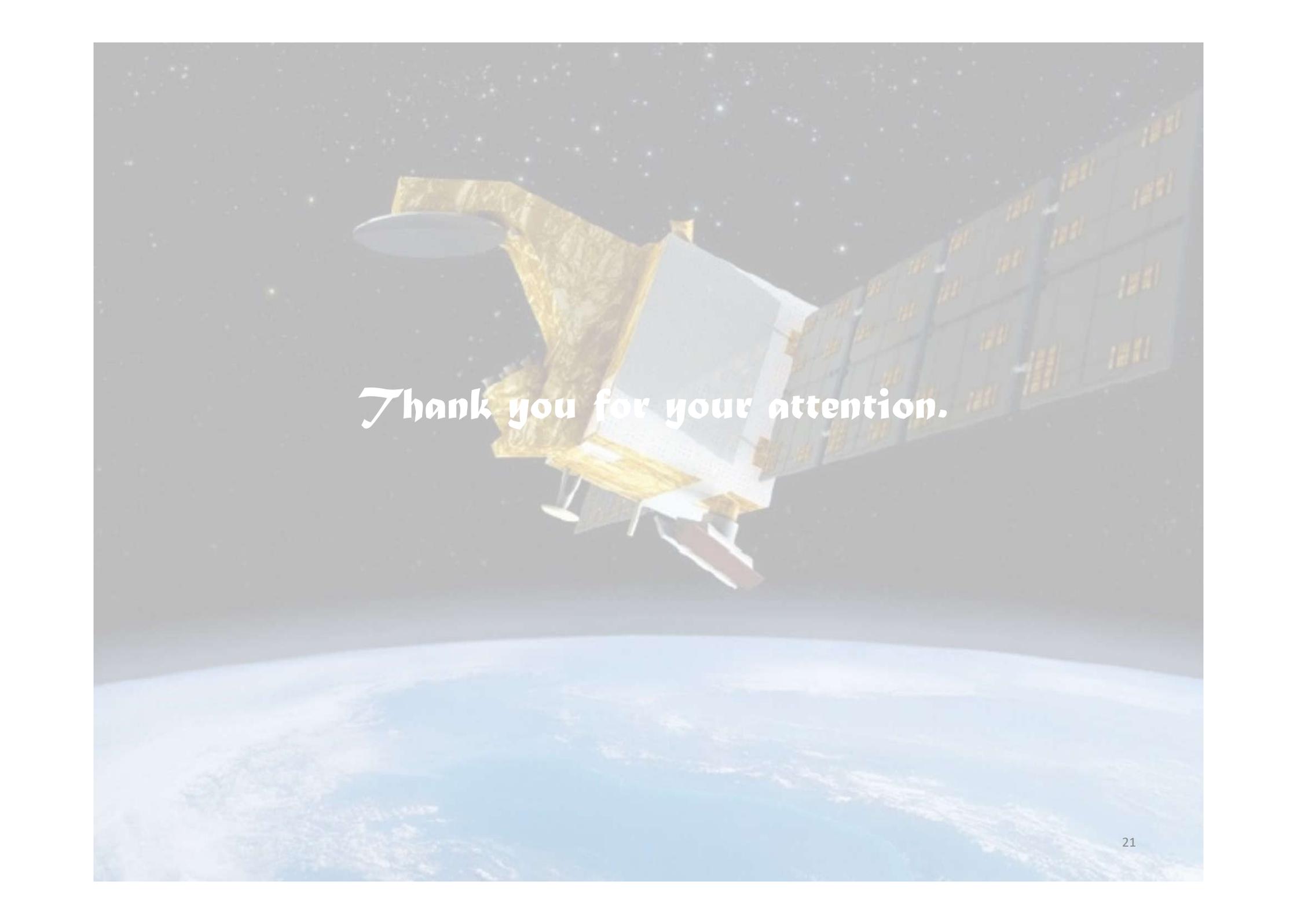
A satellite with a large gold-colored antenna and solar panels is shown in orbit above the Earth. The satellite is white and gold, with a large circular dish antenna pointing towards the viewer. The Earth's blue and white surface is visible at the bottom of the frame, and the blackness of space with stars is at the top.

5

Conclusions

Conclusions

- ❑ The CFOSCAT Bayesian sea ice discrimination algorithm provides valuable information for the characterization of sea ice during the difficult melting season.
- ❑ The algorithm is validated against existing passive microwave ice concentration product on a global scale.
- ❑ The sea ice extent retrieval of CFOSCAT is discussed, and more validation will be done continuously.

A satellite with a large gold-colored solar panel and a white body is shown in space. The Earth's blue and white horizon is visible at the bottom. The background is a dark space filled with stars.

Thank you for your attention.